

Platform and Prior Knowledge in the Development of Neutralizing Monoclonal Antibodies: A Regulatory Perspective

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**5th FDA/PQRI Conference on Advancing Product Quality:
Advancing Quality & Technology of Future Pharmaceuticals**

Accelerating Development:

Fast Tracking Critical Treatments, Antibody Platforms, and Quality Standards for Emerging Modalities

Dec 2, 2021

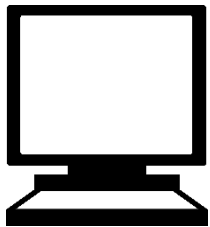
Pharmaceutical Quality

A quality product of any kind consistently meets the expectations of the user.



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A quality product of any kind consistently meets the expectations of the user.



Drugs are no different.

**Patients expect safe and effective
medicine with every dose they take.**

Pharmaceutical quality is
assuring *every* dose is safe and
effective, free of contamination
and defects.



It is what gives patients confidence
in their *next* dose of medicine.

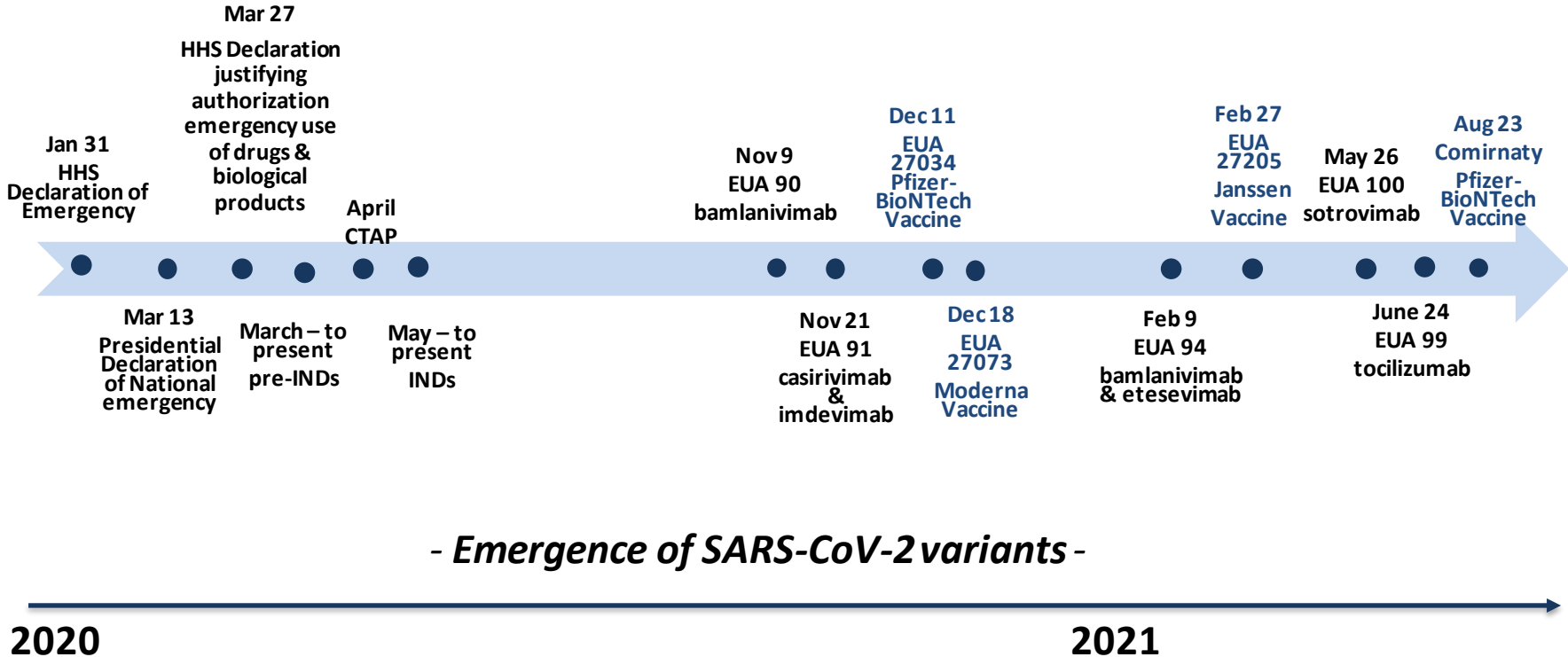
Disclaimer

Please refer to any cited guidance, as this talk only refers to them at a high level. Specific regulatory issues need to be addressed with the relevant assessment team.



COVID-19

Some Biological Products Key Milestones as of November 2021



Note: Vaccine EUA information on this slide is presented for context only. Vaccine development is out of the scope of this presentation.

60+ Neutralizing antibodies are being studied for COVID-19



Active Pre-INDs

Safe to proceed INDs



670+

Drug development programs in planning stages¹



470+

Trials reviewed by FDA²



11

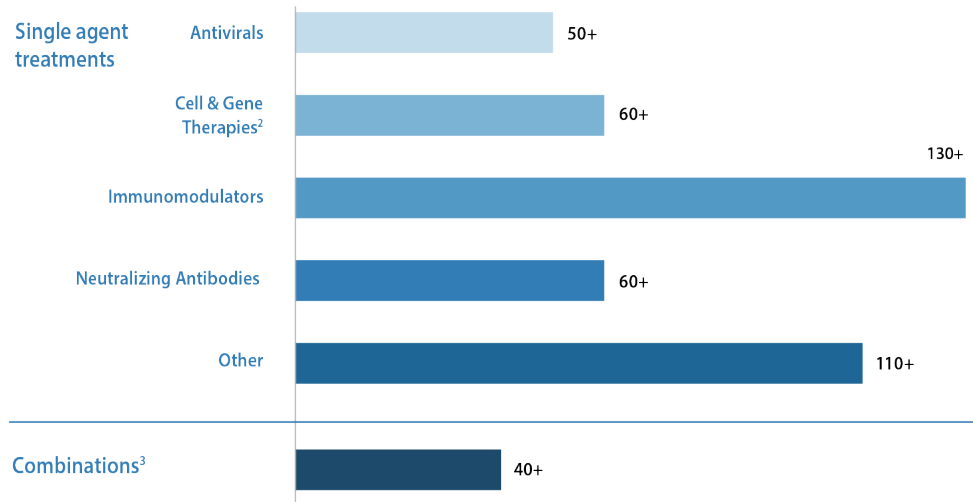
COVID-19 treatments currently authorized for Emergency Use³



1

Treatments currently approved by FDA for use in COVID-19

Type of COVID-19 Treatment Being Studied¹



From Data as of 11/21/2021. Excludes vaccines

Expedited Development of Neutralizing Antibodies

- **Strategies**
- **Platform and Prior knowledge**
 - **Examples applied to COVID-19 mAb development**
- **Opportunities for drug development**



STRATEGIES

Key FDA Guidances

- 1997 Points to Consider in the Manufacture and Testing of Monoclonal Antibody Products for Human Use
 - Reduced safety testing for feasibility clinical trials in serious or life-threatening conditions
 - Generic/modular virus clearance studies or
 - Two orthogonal robust virus clearance steps
- Development of Monoclonal Antibody Products Targeting SARS-CoV-2, Including Addressing the Impact of Emerging Variants, During the COVID-19 Public Health Emergency, Feb, 2021
 - Strategies for limited early phase development to enable FIH
 - ***Leveraging experience from mAbs in development and licensed***
 - Critical elements of the development program

STRATEGIES FOR LIMITED EARLY PHASE DEVELOPMENT TO ENABLE FIH

Examples of Strategies for Limited Early Phase Development to Enable FIH



Strategy	Risks	Regulatory Considerations & Mitigation strategies
Stable bulk culture in lieu of clonally derived cell bank	<ul style="list-style-type: none"> • Lot-to-lot consistency • Comparability risks <ul style="list-style-type: none"> ○ Impact on relevance and interpretation of data generated with pre-change product ○ Impact on product supply 	<ul style="list-style-type: none"> • Full safety testing (ICHQ5A) • Enhanced control strategy; e.g. process description and specifications • Comprehensive comparability when e.g., transitioning to clonal cell line • Limited duration for approach (i.e., few batches)
Flexibility in quantity of initial stability data submitted	<ul style="list-style-type: none"> • May not be applicable to all products • May not correlate or be predictive 	<ul style="list-style-type: none"> • Leverage formulation development data • Robust stability protocols <ul style="list-style-type: none"> • Additional stability timepoints – to obtain data earlier/in a timely manner • Supported by accelerated stability data

This information constitutes examples of risks, mitigation strategies, and regulatory considerations. Different approaches may be needed on a case-by-case basis.

<https://www.fda.gov/media/146173/download>

Examples of Strategies for Limited Early Phase Development to Enable FIH



Strategy	Risks	Regulatory Considerations & Mitigation strategies
Interim results from limited safety testing (e.g., cell banks, UPB) <ul style="list-style-type: none"> • Full study reports at a negotiated time 	<ul style="list-style-type: none"> • Safety 	<ul style="list-style-type: none"> • Negotiated timing for data submission (e.g., Data needed prior dosing patients)
Two robust orthogonal virus clearance steps (no available modular/generic virus clearance data)	<ul style="list-style-type: none"> • Safety 	<ul style="list-style-type: none"> • Principles from Points to Consider in the Manufacture and Testing of Monoclonal Antibodies for Human Use guidance • Limited batches
Use of mixing and diluting with sterile filtration employing aseptic technique	<ul style="list-style-type: none"> • Safety 	<ul style="list-style-type: none"> • Expectations for aseptic technique: CGMP for Phase 1 Investigational Drugs (July 2008) • Additional controls • Immediate use • Limited duration for approach (i.e., few batches)

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STRATEGIES LEVERAGING EXPERIENCE FROM MABS IN DEVELOPMENT AND LICENSED

Examples of Strategies Leveraging experience from mAbs in development and licensed

Strategy	Risks	Regulatory Considerations & Mitigation strategies
Platform knowledge <ul style="list-style-type: none"> • Process • Specifications • Methods • Formulation • Stability 	<ul style="list-style-type: none"> • May not be applicable to all products • Challenging for molecules other than antibodies 	Applicability to new product <ul style="list-style-type: none"> • Similar properties (e.g., pI, antibody isotype, etc.) • Specs: may be adequate for e.g., process-related impurities • Stability: same formulation & similar product properties
Limited Tech Transfer activities for Facilities	<ul style="list-style-type: none"> • Product quality • Consistency • Supply 	<ul style="list-style-type: none"> • Experience in manufacturing biotech products • History of recent inspection

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Examples of Strategies Leveraging experience from mAbs in development and licensed

Strategy	Risks	Regulatory Considerations & Mitigation strategies
Modular data for viral and impurity clearance	<ul style="list-style-type: none"> • Safety 	<ul style="list-style-type: none"> • Applicability to the new product (e.g., product properties)
Leveraging development data <ul style="list-style-type: none"> • Formulation & accelerated stability • Process development & characterization 	<ul style="list-style-type: none"> • May not be applicable to all products • May not correlate or be predictive 	<ul style="list-style-type: none"> • May support <ul style="list-style-type: none"> • Product stability • In-use stability • Compatibility • May support control strategy
Rapid methods	<ul style="list-style-type: none"> • Inadequate control of product quality 	<ul style="list-style-type: none"> • Similar or superior performance as typical methods, or • Confirmatory results with typical method

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STRATEGIES - CRITICAL ELEMENTS OF THE DEVELOPMENT PROGRAM



Examples of Strategies -Critical elements of the development program

Strategy	Risks	Regulatory Considerations & Mitigation strategies
Prospective Comparability plans, e.g. <ul style="list-style-type: none">• Scale up• Transition to MCB• Site transfer	<ul style="list-style-type: none">• May only include anticipated manufacturing changes	<ul style="list-style-type: none">• Discussion with Agency and agreement on expectations for comparability – lower regulatory risk.• Important to consider facility(ie’s) compliance history
<ul style="list-style-type: none">• Strategy to propose shelf life	<ul style="list-style-type: none">• Stability• Safety and efficacy	May leverage all available stability data at different conditions as well as other studies such as formulation development data

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PLATFORM AND PRIOR KNOWLEDGE

Platform Technologies

General Platform Definition

- *A group of technologies that are used as a base upon which other applications, processes or technologies are developed (techopedia)*
- *A set of actions or ideas that forms the basis for future development (Cambridge dictionary)*
- May be considered a subset of “prior knowledge” per ICH Q10
- May include “modular” unit operations where the considerations are independent of connection to other operations
 - Individual companies may develop their own platform(s)

Examples of Platform Approaches- mAbs

Discovery, Design & Optimization

- Target development & engineering
 - Lead selection (e.g. screening platforms)

Control Strategy

- Specifications (methods and acceptance criteria)
 - e.g., process-related impurities

Pharmaceutical Development

- Formulation & stability

Manufacturing Process

- Downstream Purification Design
- Modular impurity clearance
- Modular viral clearance

ICHQ11: Platform Manufacturing

*The approach of developing a production strategy for a new drug starting from manufacturing processes similar to those used by the same applicant to manufacture **other drugs of the same type** (e.g., as in the production of monoclonal antibodies using predefined host cell, cell culture, and purification processes, for which there already exists considerable experience)*

- A-mab case study applies the concept of platform and prior knowledge
 - e.g. Molecule design, formulation, manufacturing (upstream and downstream) process design

Examples of Platform Approaches Applied to COVID-19 Neutralizing mAbs



Lead identification

- e.g. collection of fully human antibodies generated by using humanized mice and convalescent plasma

Formulation & stability

- Most sponsors leveraged their own formulation studies from other antibodies
 - e.g. studies from previous molecules of the same isotype (e.g. IgG1)
 - Used a “platform formulation”
 - Accelerated stability studies (product-specific and other mAbs) were used to assess degradation profile and support real-time stability

Hansen et al., *Science* **369**, 1010–1014 (2020)

Taylor et al., *Nat Reviews Immunology* **21**, 382-393 (2021)

Kelly B. *Nat Biotechnol* **38**, 540–545 (2020)

Examples of Platform Technologies Applied to COVID-19 Neutralizing mAbs



Manufacturing

- Most sponsors used mAb platform manufacturing with little optimization
- Modular viral and modular impurity clearance was applied

Control strategies

- Most sponsors used a combination of product-specific and mAb platform methods
- Generally accepted acceptance criteria (e.g. process-related impurities) were applied
- Specs justification & risk assessment considered low probability of off-site target effects because the spike protein is a foreign target

Hansen et al., *Science* **369**, 1010–1014 (2020)

Kelly B. *Nat Biotechnol* **38**, 540–545 (2020)



How Did Platform Technologies help expedite development?

- Sponsors applied their own in-house technologies, platforms & knowledge in formulation, manufacturing processes, and analytical methods
- Platform manufacturing processes were used with little additional optimization
- In some cases, platform manufacturing processes start from in-house cell line to platform unit operations – no cell-line specific process development was applied
- In some cases, limited new technologies were created & applied for COVID- no time to experiment

How Did Platform Technologies help expedite development?



- Some sponsors conducted parallel development “at risk, up-front investments” - **no risk to patient safety**
 - e.g. using parallel processes expanding clonally-derived cell banks and non-clonal cell pools
 - Scale up and transfers were conducted in parallel
 - Worked closely with CMOs with experience manufacturing mAbs
 - High business risk tolerance
- Process optimization is not intended, or it is deferred for the BLA
 - Agency’s flexibility is contingent on this adequacy of the knowledge provided; not merely “less information”

Opportunities for drug development?

Yes, platform approaches were possible and worked for COVID-19 because we have been applying them already!

But

- Applicability of platform and prior knowledge is limited by the data and information submitted in an application
- There are opportunities for leveraging industry's knowledge and this should be provided in the application
 - It is not sufficient to just say e.g., it is the same formulation or same process, etc.
- Industry needs to be willing to do the work up front and willing to share it (we don't know what you don't tell us)

Closing Remarks

- Strategies for accelerated development of COVID-19 neutralizing antibodies may be useful for addressing, for example, SARS-CoV2 variants and other life-threatening conditions
- Platform and prior knowledge have been and can continue to be applied; however,
 - Industry should provide the data, information, and rationale of the applicability of such knowledge to a particular product
- The approaches taken for COVID-19 have and will continue to consider benefit/risk
- This experience allowed us to test strategies and identify new opportunities

THANK YOU FOR YOUR ATTENTION

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FDA Guidance



- Emergency Use Authorization of Medical Products and Related Authorities Guidance for Industry and Other Stakeholders January 2017
- Pandemic and All-Hazards Preparedness Reauthorization Act of 2013 (PAHPRA) Medical Countermeasure (MCM) Authorities: FDA Questions and Answers for Public Health Preparedness and Response Stakeholders. January 2014
- Development of Monoclonal Antibody Products Targeting SARS-CoV-2, Including Addressing the Impact of Emerging Variants, During the COVID-19 Public Health Emergency Guidance for Industry February 2021
- COVID-19: Potency Assay Considerations for Monoclonal Antibodies and Other Therapeutic Proteins Targeting SARS-CoV-2 Infectivity Guidance for Industry. January 2021
- Identification of Manufacturing Establishments in Applications Submitted to CBER and CDER Questions and Answers October 2019
- Points to Consider in the Manufacture and Testing of Monoclonal Antibody Products for Human Use, February 1997

FDA Guidance



- COVID-19 Public Health Emergency: General Considerations for Pre-IND Meeting Requests for COVID-19 Related Drugs and Biological Products, May 2020
- COVID-19 Container Closure System and Component Changes: Glass Vials and Stoppers Guidance for Industry, March 2021

<https://www.fda.gov/emergency-preparedness-and-response/counterterrorism-and-emerging-threats/coronavirus-disease-2019-covid-19>